

# KEY INVESTOR INFORMATION

*This document provides you with key investor information about this fund. It is not marketing material. The information is required by law to help you understand the nature and the risks of investing in this fund. You are advised to read it so you can make an informed decision about whether to invest.*

## GRAND BARIE LEWER MULTI ASSET CLASS FUND (the “Fund”) a Sub-Fund of GATEWAY UCITS FUNDS PLC Class B Institutional – GBP ISIN: IE000I79A041

The Manager of the Fund is Equity Trustees Fund Services (Ireland) Limited

### Objectives and investment policy

The investment objective of the Fund is to achieve medium to long term capital appreciation. To achieve this investment objective, the Fund will be actively managed and will invest the majority of its assets in a portfolio of equities, UCITS exchange traded funds (“ETFs”) and collective investment schemes. The Fund may also invest in equity-related securities (namely convertible preference shares), which are listed on Recognised Exchanges in the United Kingdom, Europe, and the United States.

- **Equity Strategy** The Sub-Investment Manager will construct a portfolio based on a combination of fundamental analysis and short-term timing techniques. The Sub-Investment Manager will assess and select companies through a mixture of a bottom-up approach using fundamental analysis and the potential impact on individual equity prices from political and economic developments, and secondly selecting short-term and longer-term trades and investments utilising technical analysis as either a primary guide or secondary guide for entry and exit points on a fund or equity.
- **Equity Option Writing Strategy** The Sub-Investment Manager uses both technical analysis and option analysis to identify key entry and exit points (buying and selling opportunities) on each individual holding. Such analysis shall entail a process of identifying, what is considered by the Sub-Investment Manager, the best approach to gain exposure taking into account of such factors as, charting patterns, dividend timings, option volatility, availability of different expiry dates for the options and how these coincide with future company announcements, volatility implied in options having different strike prices etc. A range of execution and investment methods/instruments, for example, direct equity exposure, Options (calls and puts) will be assessed, compared, with the most suitable strategy, which in the opinion of the Sub-Investment Manager, is then applied to the Fund.
- **Debt Securities** The Fund may hold up to 50% of the net assets of the Fund in debt for the purposes of providing collateral in respect of the derivative positions held by the Fund as well as for ancillary liquidity

purposes in appropriate circumstances. Such securities will be chosen on the basis of economic fundamentals (level of interest rates, inflation, monetary policy) and market sentiment (the optimism or pessimism of investors as a whole) as well as credit analysis of individual issuers. Such debt securities shall be rated investment grade or above as rated by the principal rating agencies. Within the investment grade rating, the rating will be the lowest of three rating agencies, namely Standard & Poor's (S&P), Moody's Investor Services (Moody's), and Fitch IBCA (Fitch)

- **Investment in Collective Investment Schemes** The Fund may invest up to 60% of its assets in UCITS collective investment schemes and regulated alternative investment funds domiciled in the EU and the United Kingdom which fall within the requirements set out in the Central Bank's Guidance “UCITS Acceptable Investment in other Investment Funds”.
- **Foreign Exchange Strategy** The Fund may enter derivative contracts to hedge against a change in exchange rates.
- **Use of Derivatives** The Fund may use financial derivative techniques and instruments subject to regulatory conditions

Shares can be bought and redeemed on any Dealing day where banks in London and Dublin are open for business

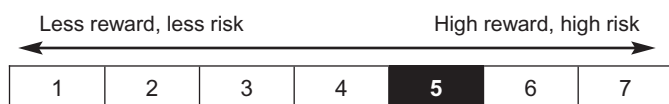
The Fund has for offer Class A Retail - GBP and Class B Institutional - GBP

Any income this share class generates will be reinvested to grow the value of your investment.

Recommendation: this fund may not be appropriate for investors who plan to withdraw their money within 3 years

**For full investment objective and policy information please refer to the Supplement.**

### Risk and reward profile



The Risk and Reward Indicator table demonstrates where the Fund ranks in terms of its potential risk and reward. The higher the rank the greater the potential reward but the greater the risk of losing money. The lowest category does not mean a risk-free investment. The indicator is based on past data, it may change over time and it is not a reliable indication of the future risk profile of the Fund. The shaded area in the table above shows the Fund's ranking on the Risk and Reward Indicator.

This Fund is ranked at 5 because funds of this type have experienced average rises and falls in value in the past. Please note that even the lowest risk class can lose you money and that extreme market circumstances can mean you suffer severe losses in all cases. While the risk indicator takes into account the broader risk profile, the following risks are considered most relevant to this Fund.

The Fund may also be exposed to the following risks which are not adequately taken into account by the risk indicator above:

- **Liquidity Risk:** The Fund may not be able to sell an investment at the time and price that is most beneficial to the Fund and may be unable to raise cash to meet redemption requests as a result.
- **Leverage:** The Fund may invest in derivatives with the intention of increasing potential gains, however this strategy also increases the exposure for potential losses.
- **Counterparty Risk:** The Fund will have a credit risk on the counterparties with which it trades. In the event of the insolvency, bankruptcy or default of any such counterparty the Fund bears the risk that the counterparty may not settle.
- **Credit Risk:** Credit risk arises from the risk of deterioration in the quality of an issuer and/or an issue, which may lead to a decrease in the value of the security.

The price of the Fund and any income from it can go down as well as up and is not guaranteed. Investors may not get back the amount invested. Past performance is not a guide to the future.

**For full details on risk factors for this Fund, please refer to the Prospectus and Supplement**

## Charges

The charges you pay are used to pay the costs of running the Fund, including the costs of marketing and distributing it. These charges reduce the potential growth of your investment.

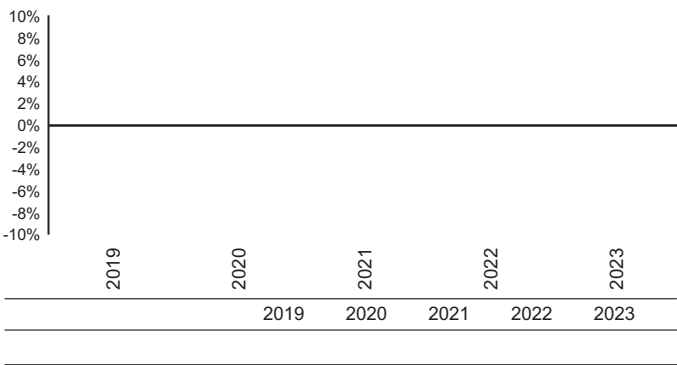
One-off charges taken before or after you invest	
Entry charge	0.00%
Exit charge	0.50%

These are the maximum charges that we might take out of your money before it is invested and before we pay out the sale proceeds of your investment. In some cases, you might pay less and you should speak to your financial adviser about this.

Charges taken from the fund under certain specific conditions	
Performance-related fees	20.00% on the outperformance of the High-Water Mark Net Asset Value.

In the last Sub-Fund's financial year, the performance fee was:  
- for the Class B Institutional – GBP: 0.84%

## Past performance



Charges taken from the fund over a year	
Ongoing charge	Class B Institutional – GBP: 2.05%

The “High Water Mark Net Asset Value” means the product of the Net Asset Value of that Sub-Class at the end of the previous Calculation Period (or, in respect of the first Calculation Period of that Sub-Class, the amount subscribed for that Sub-Class during the Initial Offer Period) multiplied by 1 plus an annual hurdle rate of 1.

The ongoing charges figure is estimated because the share/unit class is relatively new and has insufficient track record for us to calculate it exactly. The ongoing charges figure may vary from year to year and will exclude the costs of buying or selling assets for the Fund (unless these assets are shares of another fund).

The Fund's annual report for each financial year will include detail on the exact charges made

For further information about charges, please refer to the relevant section in the Fund's Prospectus and Supplement

There is insufficient data to provide a useful indication of past performance to investors.

Fund launch date: 10/01/2023.

Share/unit class launch date: 10/01/2023.

## Practical information

GATEWAY UCITS FUNDS PLC is authorised in Ireland and regulated by the Central Bank of Ireland under reference number C137517.

The custodian is Société Générale S.A., Dublin branch.

The prospectus, supplement, the latest annual reports and half yearly reports and accounts are available in English from the administrator, Société Générale Securities Services, SGSS (Ireland) Limited at 3rd Floor, IFSC House, IFSC, Dublin 1.

The latest published prices of shares of each share class of the Fund are available on Bloomberg.

Details of the up-to-date remuneration policy of the Manager, including, but not limited to, a description of how remuneration and benefits are calculated and the identity of persons responsible for awarding the remuneration and benefits are available on [www.equitytrustees.com](http://www.equitytrustees.com). A paper copy will be made available free of charge upon request by the Manager.

The base currency of the Fund is GBP.

The Fund is a sub-fund of GATEWAY UCITS FUNDS PLC, an umbrella fund with segregated liability between sub-funds.

The KIID describes a share class of the UCITS. The prospectus and periodic reports are prepared for Gateway UCITS Funds Plc.

This means that the holdings of the Fund are maintained separately under Irish law from the holdings of other sub-funds of the umbrella and your investment in the Fund will not be affected by any claims against any other sub-funds of the umbrella.

Subscription, redemption or conversion requests should be addressed to the administrator at Société Générale Securities Services, SGSS (Ireland) Limited, Transfer Agency Department, 3rd Floor, IFSC House, IFSC, Dublin 1.

Investors may request conversion of some or all of their Shares in one Fund or Class to Shares in another Fund or Class or another Class in the same Fund. Please see Prospectus heading “Conversion of Shares”. There is no conversion fee.

The Fund is subject to Irish tax laws which may have an impact on your personal tax position. For further details you should consult a tax advisor.

GATEWAY UCITS FUNDS PLC may be held liable solely on the basis of any statement contained in this document that is misleading, inaccurate or inconsistent with the relevant parts of the prospectus.

The Manager is authorised in Ireland and regulated by the Central Bank of Ireland under reference number C183076.

This Key Investor Information is accurate as at 9 February 2024.