

# KEY INVESTOR INFORMATION

*This document provides you with key investor information about this fund. It is not marketing material. The information is required by law to help you understand the nature and the risks of investing in this fund. You are advised to read it so you can make an informed decision about whether to invest.*

## ECOFIN ENERGY TRANSITION UCITS FUND a Sub-Fund of GATEWAY UCITS FUNDS PLC

**Class E Founder EUR ISIN: IE00BYVQ9740**

**Class E Founder USD ISIN: IE00BYVQ9856**

**Class E Founder GBP ISIN: IE00BYVQ9963**

**Class E Founder SEK ISIN: IE00BYVQ9B80**

**Class E Founder NOK ISIN: IE00BYVQ9C97**

**Class E Founder CHF ISIN: IE00BYVQ9D05**

**The Manager of the Fund is Equity Trustees Fund Services (Ireland) Limited**

**The Sub-Investment Manager of the Fund is Ecofin Advisors Limited**

## Objectives and investment policy

The investment objective of the Fund is to maximize returns by investing in a portfolio of equities issued by companies that have at least 25% of their revenues derived from sustainability initiatives. The investment policy set for the Fund is to invest predominantly in equities issued by companies focused on the following seven Target Sectors:

- Advanced Mobility
- Energy & Industrials
- Power & Energy Infrastructure
- Air & Environment
- Renewable Energy
- Energy Efficiency
- Water

The equities invested in by the Fund will be listed or traded on Recognised Exchanges. The Fund will utilise a broad approach to security selection, with the investable universe spanning more than 400 publicly traded equities issued by high quality companies focused on the Target Sectors and located in developed markets that have at least 25% of their revenues derived from sustainability initiatives, such as energy efficiency, renewable energy, waste management, clean transportation, industrial efficiency etc. Geographic exposure will be predominantly to high quality companies focussed on the Target Sectors located primarily in North America, in Europe and in Asia-Pacific.

The Fund may also hold or maintain cash deposits (denominated in such currency or currencies as the Sub-Investment Manager may determine) and/or cash equivalents (such as short term commercial paper, certificates of deposit, treasury bills, and fixed or variable rate commercial paper listed or traded on one or more Recognised Exchanges) and subject to the conditions and within the limits laid down by the Central Bank. The amount of cash and/or cash equivalents that the Fund will hold will vary depending on the Sub-Investment Manager's timing of adding or removing positions to or from the Fund. Investment in the foregoing ancillary investments may be made during abnormal market conditions or pending re-investment in any of the Target Sectors asset classes disclosed above. The Fund will not invest in such instruments that are unrated or rated below BBB- (or equivalent).

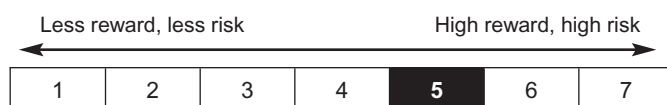
Investors can buy, sell or switch shares weekly by submitting an application to the Fund's administrator before 4 p.m. (Irish time) falling three business days prior to the relevant dealing date.

- **Recommendation:** This Fund is appropriate for investors seeking long-term capital appreciation who are prepared to accept moderate to high volatility.

The Sub-Fund does not intend to pay a dividend.

**For full investment objective and policy information please refer to the prospectus.**

## Risk and reward profile



The indicator above indicates the position of the Fund on a standard risk/reward category scale.

The risk/return indicator suggests the relationship between risk and potential returns when investing in the Fund.

The Fund's risk level is classified as category 5, indicating a higher than average risk but with the potential for higher reward given that the focus is on the Target Sectors and that the Fund will typically hold between 25 to 35 positions. The Fund is expected to have a high volatility due to its investment policy.

Historical data, such as is used in calculating the synthetic indicator, may not be a reliable indication of the future risk profile of the Fund.

The risk and reward category shown is not guaranteed to remain unchanged and the categorisation of the Fund may shift over time.

The lowest category does not mean a risk-free investment.

The Fund may also be exposed to the following risks which are not adequately taken into account by the risk indicator above:

- **Liquidity Risk:** The Fund may not be able to sell an investment at the time and price that is most beneficial to the Fund and may be unable to raise cash to meet redemption requests as a result.

## Charges

The charges are used to pay the costs of running the Fund, including the costs of marketing and distributing the Fund. These charges reduce the potential growth of the investment.

One-off charges taken before or after you invest	
Entry charge	5.00%
Exit charge	up to 2.00%

The Directors do not currently intend to impose the entry charge.

Charges taken from the fund under certain specific conditions	
Performance-related fees above a hurdle	None

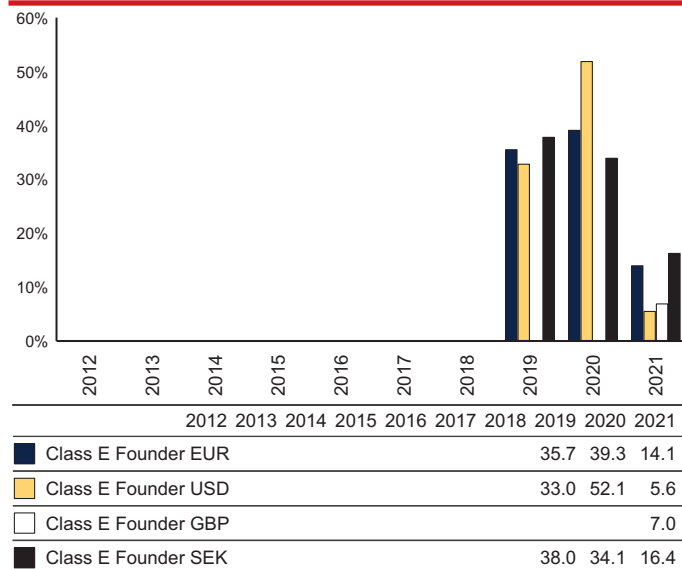
Charges taken from the fund over a year	
Ongoing charge	Class E Founder EUR: 0.97%
	Class E Founder USD: 0.98%
	Class E Founder GBP: 0.98%
	Class E Founder SEK: 0.98%

This will include reimbursement to the Sub-Investment Manager for third party research charges, at a rate of 20 bps where Fund AUM is up to \$50m, 15bps where Fund AUM is \$50-100m, 10bps where Fund AUM is \$100m-\$200m and at 6bps where Fund AUM exceeds \$200m.

You can obtain further information about these charges, in the "fees and expenses" section of the prospectus and/or supplement which is available from the administrator, Société Générale Securities Services, SGSS (Ireland) Limited at 3rd Floor, IFSC House, IFSC, Dublin 1.

The ongoing charges shown here is an estimate of the charges. These figures may vary from year to year. The ongoing charges figure shown do not include transaction costs incurred when the Sub-Fund buys or sells assets.

## Past performance



Past performance is not necessarily indicative of future performance.

The calculation of the past performance includes all fees and commissions.

The Fund was launched in 2018.

The past performance has been calculated in EUR.

## Practical information

GATEWAY UCITS FUNDS PLC is authorised in Ireland and regulated by the Central Bank of Ireland.

The custodian is Société Générale S.A., Dublin branch.

The prospectus, supplement, the latest annual reports and half yearly reports and accounts are available in English from the administrator, Société Générale Securities Services, SGSS (Ireland) Limited at 3rd Floor, IFSC House, IFSC, Dublin 1.

The latest published prices of shares of each share class of the Fund are available on Bloomberg.

Details of the up-to-date remuneration policy of the Manager, including, but not limited to, a description of how remuneration and benefits are calculated and the identity of persons responsible for awarding the remuneration and benefits are available on [www.equitytrustees.com](http://www.equitytrustees.com). A paper copy will be made available free of charge upon request by the Manager.

The base currency of the Fund is EUR.

GATEWAY UCITS FUNDS PLC is an umbrella fund with segregated liability between sub-funds.

This means that the holdings of the Fund are maintained separately under Irish law from the holdings of other sub-funds of the umbrella and your investment in the Fund will not be affected by any claims against any other sub-funds of the umbrella.

Subscription, redemption or conversion requests should be addressed to the administrator at Société Générale Securities Services, SGSS (Ireland) Limited, Transfer Agency Department, 3rd Floor, IFSC House, IFSC, Dublin 1.

The Fund is subject to Irish tax laws which may have an impact on your personal tax position. For further details you should consult a tax advisor.

GATEWAY UCITS FUNDS PLC may be held liable solely on the basis of any statement contained in this document that is misleading, inaccurate or inconsistent with the relevant parts of the prospectus and/or supplement.

The Manager is authorised in Ireland and regulated by the Central Bank of Ireland.

This Key Investor Information is accurate as at 16 February 2022